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(54) Title: METHOD OF RENDERING MASONRY MATERIALS WATER REPELLENT WITH LOW VOC ORGANOALKOXYSILANES		
(57) Abstract A composition and method for rendering a surface water repellent. The composition is a substantially solvent-free organoalkoxysilane having between two and nine silicon atoms per silane. An oleophobic organofluoro compound may be added to the composition in order to render the surface also oil repellent.		

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+ DESIGNATIONS OF "SU"

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1 METHOD OF RENDERING MASONRY MATERIALS WATER REPELLENT
2 WITH LOW VOC ORGANOALKOXY-SILANES
3

4 Background of the Invention
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6 The present invention relates to the use of water-
7 repellent compositions of solvent-free dimers, trimers and
8 other lower oligomers of organoalkoxysilanes, as well as
9 mixtures thereof on siliceous or carbonaceous surfaces. The
10 compositions may also be rendered oil resistant by the
11 addition of fluoroorganic compounds, especially fluoro
12 organic polymers.

13 Silicon based materials have been used for many years
14 to render masonry and related surfaces water repellent.
15 Many improvements have been made over the years to improve
16 the efficacy of the materials in repelling water.
17 Improvements have also been made in the silicon based
18 materials in the areas of durability or wear and with
19 respect to reducing the attraction of atmospherically
20 carried dirt and other contaminants to surfaces on which the
21 materials have been applied.

22 Many of the alkyltrialkoxysilanes currently available
23 as water repellent surface coatings are quite suited for
24 such a purpose. Nevertheless, environmental pollution has
25 become a more prominent issue in recent years and
26 conventional alkyltrialkoxysilane compositions release a
27 substantial amount of air polluting solvents and organic by-
28 products upon application to a surface and during a
29 subsequent curing process thereof. Therefore, it is
30 desirable to provide a silicon based water repellent for

1 treating surfaces that substantially renders the surfaces as
2 resistant to liquid water as prior art silicon based
3 compounds, while releasing substantially less pollution in
4 the form of volatile organic compounds (VOC) during curing
5 of the repellent.

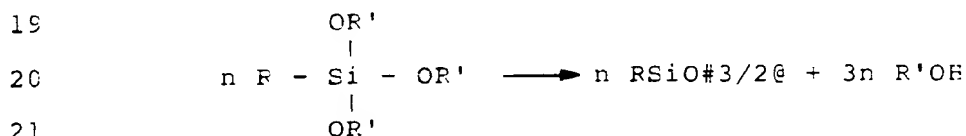
6 In particular, prior art organosilicon compositions
7 used as water repellents typically include silicone oils or
8 fluids, alkali metal siliconates, polysiloxanes, and
9 alkyltrialkoxysilane monomers or mixtures thereof. Such
10 compositions have been dissolved in organic solvents,
11 emulsified with water, catalyzed by a variety of catalysts,
12 applied under a variety of conditions, admixed with
13 surfactants, fillers, etc. The substrates to which these
14 water repellents have been applied have included
15 inorganic or organic materials that contain many different
16 types of hydroxyl-group-bearing components having hydroxyl
17 sites at which silicon-oxygen bonds can be formed or other
18 sites to which the silicon can bond. Such substrates
19 generally have included masonry products, cellulosic
20 materials and similar materials.

21 Solutions of silicone oils were among the first
22 organosilicon materials employed as water repellents, but
23 upon evaporation of the solvent, the silicone oils often
24 left surfaces sticky, because the oils did not polymerize
25 into pores within the substrate, and, thus, facilitated
26 accumulation of atmospheric dirt. Alkali metal siliconates
27 improved performance in this area, but the siliconates pose
28 some safety hazards to applicators because of their
29 intrinsic high alkalinity, and on some substrates, a surface
30 film remained after application of the siliconates.

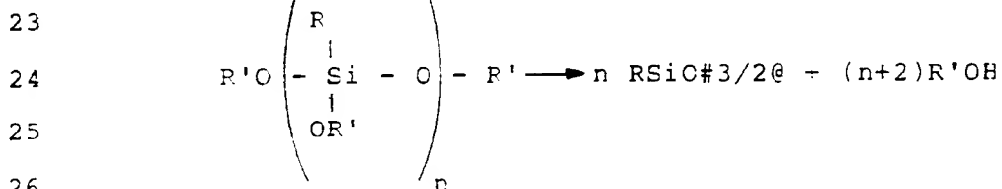
1 Solutions of polysiloxanes and/or alkyltrialkoxysilane
 2 monomers in organic solvents have proven to be very good
 3 water repellents and have been highly successful in
 4 preventing corrosive chloride ions from salt or the like
 5 from entering masonry and damaging metal, such as
 6 reinforcing bar, therein. Many such compositions of
 7 polysiloxanes and/or alkyltrialkoxysilanes monomers are
 8 considered highly effective for their intended purpose when
 9 the performance of such compositions as water repellents
 10 alone is considered.

11 However, prior art compositions of polysiloxanes and
 12 alkyltrialkoxysilanes, as well as the silicone oils,
 13 contribute to air pollution by virtue of the solvents
 14 therein which evaporate into the atmosphere. In addition,
 15 polysiloxanes and alkyltrialkoxysilane monomers also release
 16 alcohols during their curing process as noted by the
 17 following equations:

18



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28 (wherein R and R' are organic radicals and n is an integer)

29

30

1 It is noted that the alkyltrialkoxysilane monomers release a
2 greater amount of volatile organic compounds (VOC) to the
3 atmosphere per unit weight upon application to a surface and
4 prior to curing as compared to the polysiloxanes, because
5 the monomers are inherently more volatile due to the
6 relatively low molecular weights thereof and the monomers
7 release more volatile alcohols per unit weight as compared
8 to the polysiloxanes.

9 Water emulsions of alkyltrialkoxysilane monomers and
10 polysiloxanes have been tried as water repellents, but
11 generally have shown poor performance characteristics due to
12 fundamental chemical instability, lack of pH stability,
13 presence of color formation especially upon irradiation with
14 ultraviolet light, lack of penetration depth, and/or poor
15 water-repellency.

16 Recently, solvent-free alkyltrialkoxysilane monomers
17 have found limited utility as water repellents. These
18 solvent-free materials have been shown to penetrate more
19 deeply into substrates than solvent-carried
20 alkyltrialkoxysilane monomers or polysiloxanes. However,
21 the VOC levels for these materials are not appreciably lower
22 than some prior art repellents, as the monomers themselves
23 are volatile enough to significantly evaporate from warm/hot
24 substrates and a substantial amount of volatile alcohol is
25 released upon polymerization during curing.

26 Thus, there is a need for high performance water
27 repellents that do not change the appearance of substrates,
28 that are stable over a wide range of the pH scale, that are
29 relatively long wearing, that are effective chloride ion
30 screens, and especially that release relatively low levels

1 of VOC to the environment. Moreover, it is desirable for
2 such water repellents to also be oleophobic so that the
3 repellent may simultaneously be resistant to both oil and
4 water, thereby producing a generally graffiti resistant
5 surface.

6

7

Summary of the Invention

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9 The present invention is directed to improved water-
10 repellent compositions for masonry products, cellulosic
11 materials, and other substrates capable of forming
12 silicon-oxygen bonds with the water repellent compositions.

13 The repellents of the present invention are generally
14 neat or solvent-free compositions of an organoalkoxysilane
15 wherein the silane has from two to approximately nine
16 silicon atoms with from two to six silicon atoms
17 functioning best for most applications, and preferably has
18 from two (dimer) to three (trimer) silicon atoms. Although
19 the composition may consist of a single uniform
20 organoalkoxysilane, mixtures of different silanes may be and
21 normally are present. The presence of different silanes is
22 even more likely as soon as the composition is exposed to
23 atmospheric air, as moisture in the air will react with some
24 of the silanes to promote polymerization. While the organo
25 group may be any of a wide range of organic radicals having
26 up to thirty carbons wherein many different atoms may be
27 substituted for hydrogen and/or multiple bonds may exist
28 between carbons, relatively less expensive and simple alkyls
29 are found to function well and alkyl groups having between
30 four and eight carbons or mixtures thereof are preferred.

1 Likewise, while the alkoxy groups may be exotic organic
2 compounds with hydrogen substituted by varied other atoms
3 and/or double bonding between carbons, relatively low alkyl
4 chains (eight or fewer) function well with methoxy and
5 ethoxy groups being preferred or mixtures thereof. A
6 preferred composition of the invention is a solvent-free
7 flowable liquid in which the silane is 1,3-di-n-octyl-
8 1,1,3,3-tetraethoxydisiloxane or 1,3-di-n-octyl-1,1,3,3-
9 tetramethoxydisiloxane or other dimer and trimer silanes
10 having alkyl groups with between four and eight carbons and
11 alkoxy groups with one or two carbons and mixtures thereof
12 prior to polymerization.

13 The repellent compositions of the present invention
14 are also preferably oleophobic. The repellents are rendered
15 oleophobic by the inclusion of a fluoroorganic compound that
16 is oil repellent. Preferably, the fluoroorganic compound is
17 incorporated within the repellent in amounts of from about
18 0.1 to 2.0 percent by weight although greater amounts may be
19 included.

20 Preferably, the repellent compositions of the present
21 invention have no solvent for either the organoalkoxysilane
22 component or the fluoroorganic component. In a preferred
23 embodiment, the organoalkoxysilane is a dimer, trimer, or
24 mixture thereof, silane in an amount from approximately
25 100.0 to 98.0 percent by weight and a polyfluorinated organo-
26 ic polymer in an amount from 0.0 to 2.0 percent by weight.

27 The repellent compositions of the invention are
28 applied in a solvent-free state to siliceous and
29 carbonaceous surfaces or the like having hydroxyl groups to
30 which silicon may bond. The repellents of the present

1 invention after being applied to and curing on a surface of
2 a substrate provide highly effective performance as water
3 repellents compared to prior technologies, do not impart
4 detectable aesthetic change in appearance to the substrate,
5 are chemically stable on substrates characterized by pH
6 values over a wide range of the pH scale, are stable to
7 ultraviolet and visible light, and release extremely low
8 levels of volatile organic compounds (VOC) to the
9 environment. Moreover, addition of solvent-free oleophobic
10 organofluoro compounds to the repellents results in surface
11 treatments that are oil repellent as well as water
12 repellent, with the organofluoro compound penetrated into
13 the substrate to relatively deep levels, thus yielding
14 generally graffiti resistant substrates and associated
15 surfaces.

16 Objects of the Invention

17
18 Therefore, the objects of the present invention are:
19 to provide silane compositions that will penetrate
20 substrates as deeply as, or nearly as deeply as, solvent-
21 free alkyltrialkoxysilane monomers and more deeply than
22 solvent-carried alkyltrialkoxysilane monomers, but have
23 significantly lower vapor pressures and higher boiling
24 points which result in significantly reduced evaporation
25 compared to the monomers; to provide such compositions
26 that will penetrate substrates significantly deeper than
27 solvent-carried polysiloxanes and thus be less prone to
28 removal by abrasion; to provide such compositions that
29 will have effective chloride ion screening
30 properties that are comparable to polysiloxanes and

1 alkyltrialkoxysilane monomers; to provide such compositions
2 that will not only excel in performance as water repellents,
3 but will simultaneously perform as oil repellents; to
4 provide such compositions that are relatively easily spread
5 on a surface to be treated thereby and which treat a
6 relatively large area of such a surface for each unit by
7 weight of composition used; to provide such compositions
8 which release relatively low levels of VOC compared to prior
9 art water and oil repellents; to provide a method of
10 manufacturing a neat composition of organoalkoxysilanes and
11 organofluoro compounds; and to provide such compositions that
12 are relatively easy to use, inexpensive to produce and are
13 especially well suited for the intended purpose thereof.

14 Other objects and advantages of this invention will
15 become apparent from the following description wherein are
16 set forth, by way of illustration and example, certain
17 embodiments of this invention.

18

19 Detailed Description of the Invention

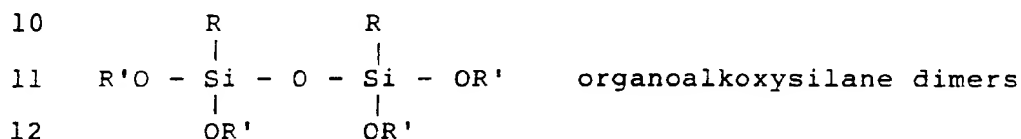
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21 As required, detailed embodiments of the present
22 invention are disclosed herein; however, it is to be
23 understood that the disclosed embodiments are merely
24 exemplary of the invention, which may be embodied in
25 various forms. Therefore, compositions disclosed herein are
26 not to be interpreted as limiting, but merely as a basis for
27 the claims and as a representative basis for teaching one
28 skilled in the art to variously employ the present
29 invention.

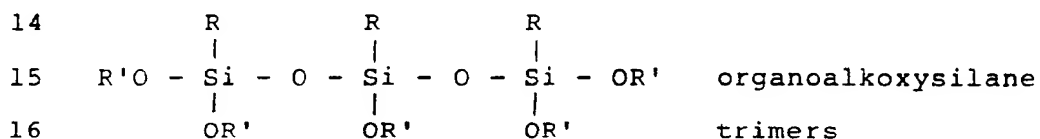
30 The present invention is especially directed to

1 solvent-free mixtures of organoalkoxysilane oligomers having
 2 between two and nine silicon atoms per silane and preferably
 3 to a neat or solvent-free liquid composition of silane
 4 dimers, trimers and other relatively low oligomers, as well
 5 as mixtures thereof. As used herein low oligomers of
 6 silanes means a silane having between 2 and 9 silicon atoms
 7 and the term neat means an essentially solvent-free
 8 composition. The oligomer silane structures are:

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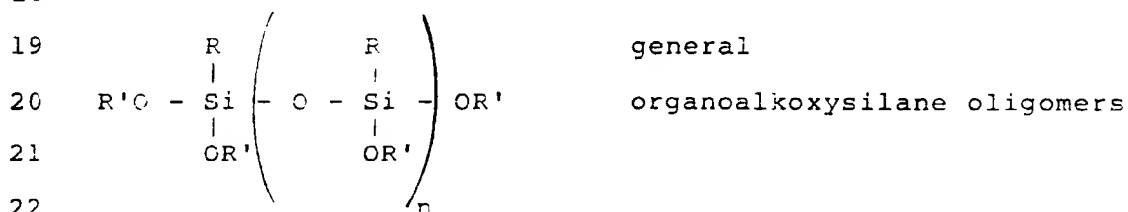


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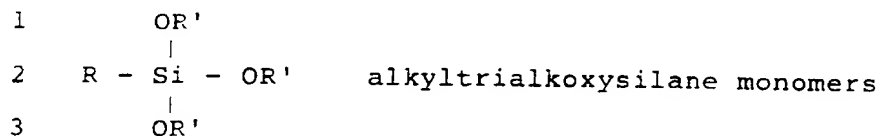
24 (wherein $n = 1$ to 8 and R and R' are organic radicals)

25

26 The above oligomer silane structures are compared to
 27 alkyltrialkoxysilane monomers and polysiloxanes that have
 28 the following general formulas:

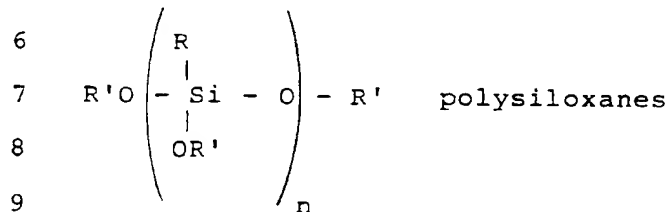
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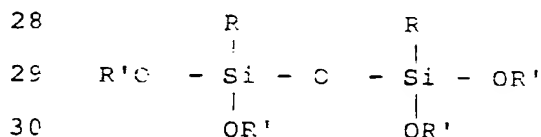
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11 (where $n = 10$ to about 80 and where R and R' are
 12 organic radicals)

13

14 In accordance with the present invention, an
 15 organoalkoxysilane neat or essentially solvent-free flowable
 16 liquid composition is provided for the treatment of surfaces
 17 of substrates, especially where the surfaces and pores from
 18 the surfaces into the substrates have exposed hydroxyl
 19 groups to which silicon in the silane may bond. Such
 20 substrates include, but are not limited to, masonry surfaces
 21 (such as concrete, plaster, calcareous sandstone and brick),
 22 carbonaceous surfaces (such as cellulose especially, wooden
 23 decks) and the like. The composition may also be utilized
 24 in conjunction with aluminum-containing masonry. The
 25 organoalkoxysilane of the present invention has the
 26 following general formula:

27



30

1 In the above formula, R is an organic group or radical,
2 especially an alkyl, cycloalkyl, arylalkyl or alkaryl group
3 of from one to about thirty carbons in length. Each of the
4 carbons in the R groups may have associated hydrogens or
5 heteroatoms such as oxygen, nitrogen, sulfur and fluorine or
6 may have double or ring (aryl) bonds with adjacent carbons.
7 The R groups on each silane molecule and on different silane
8 molecules within the composition may be all the same or may
9 be various mixtures thereof. R groups of from four to eight
10 carbons have been found to be effective. R groups wherein R
11 is isobutyl, n-hexyl or n-octyl are preferred. It is noted
12 that it is also possible for R's to polymerize to combine
13 silanes.

14 Further in the above formula, R' is an organic group or
15 radical, especially an alkyl or alkoxyalkyl group containing
16 from one to about eight carbon atoms. While it is foreseen
17 that the R' groups may be quite exotic organic radicals with
18 various atoms besides hydrogen and/or with multiple or
19 cyclic bonds between carbons, relatively simple and
20 inexpensive alkyl groups function well within the scope of
21 the invention.

22 Preferably, the R' groups are methyl or ethyl or
23 mixtures thereof. The methyl groups are preferred where it
24 is desirable to limit the release of VOC's as compared to
25 the weight of the silanes used; however, in some locations
26 release of ethanol may be preferred to the release of
27 methanol and, therefore, ethoxy groups may be preferred for
28 the R' groups. It is foreseen that the R' groups on a
29 single silane molecule or on different silane molecules
30 within the composition may all be the same or may be

12

1 different mixtures of various R's. Normally, R' groups with
2 carbon chains below eight, especially one or two, are
3 preferred. Nevertheless, the larger carbon chained R'
4 groups tend to polymerize and cross-link slower within pores
5 of the surface being treated which typically leads to deeper
6 penetration of the repellent into the substrate of the
7 surface. Consequently, for some applications, longer carbon
8 chained R' groups will be desirable; and, in other
9 applications, relatively short (1 or 2) carbon chain groups
10 will be preferred.

11 Also in the above compound, n is between 1 and 8 such
12 that each silane molecule (except for condensation products
13 thereof) has between 2 and 10 silicon atoms. Preferably, n
14 is 1 (dimer) or 2 (trimer) but silanes wherein n is 3
15 (tetramer) and 4 (pentamer) or another oligomer up to n being
16 8 are foreseen to have uses in accordance with the present
17 invention.

18 Due to the low viscosity of the organoalkoxysilane
19 oligomers composition of the present invention, the
20 compositions have a relatively deep penetration into a
21 substrate to which the composition is applied prior to
22 curing. After curing, the depth of the resulting water
23 repellent insures that the repellent will not be easily or
24 quickly removed by abrasion or wear. Furthermore, the
25 silanes may function as a carrier for an oleophobic
26 organofluoro compound. Organofluoro compounds are known to
27 impart oil repellency properties to silicon-based water
28 repellents; however, they are also known for their lack of
29 penetration into substrates. A solvent-carried organofluoro
30 compound may be added to the solvent-free dimers and

13

1 trimers; thereafter and prior to application to a surface,
2 the carrier solvent for the organofluoro compound is removed
3 by vacuum distillation. The depth of penetration of the
4 organofluoro compound is enhanced by using the silane as a
5 carrier thereof as compared to a conventional solvent
6 carrier.

7 Consequently, in certain embodiments of the present
8 invention, neat liquid compositions of substantially pure
9 oligomers of organoalkoxysilanes will be utilized along with
10 mixtures and condensation products thereof. In other
11 embodiments an organofluoro compound may be added to the
12 composition to render oleophobic the surfaces upon which the
13 compositions are applied.

14 The organofluoro compound may be any such compound that
15 has oleophobic properties, that is soluble in the silane and
16 that does not have other detrimental attributes. Oleophobic
17 organofluoro compounds suitable for this purpose are
18 disclosed in U. S. Patents to Plueddemann No. 4,617,057 and
19 Bodrogi No. 4,804,572 which are incorporated herein by
20 reference. A preferred organofluoro compound is a
21 fluoropolymer that has a molecular weight of approximately
22 100,000 and that is sold by 3M under the product designation
23 FC-905. The organofluoro compound of the present invention
24 is normally incorporated in the composition with the silane
25 in an amount within a range from 0.1 to 2 percent by weight
26 of the overall composition with about 1 percent being
27 preferred. However, it is foreseen that greater quantities
28 could be included with cost of the fluoro compound being a
29 somewhat limiting factor.

30 The organofluoro compound is normally added to the

1 silane composition before application of the mixture to a
2 surface to be treated. Prior to addition to the silane,
3 many of the organofluoro compounds require a solvent, such
4 as trichloroethane, to remain in solution. Such a
5 solvent is undesirable in the present invention.
6 Consequently, the organofluoro compound with a highly
7 volatile solvent therein is first added to the silane. The
8 volatile solvent is then removed by vacuum distillation or
9 the like under controlled conditions such that the volatile
10 solvent is recovered without being released to the
11 environment and then the mixture with both organofluoro
12 compound and silane is utilized to treat a surface.

13 It is foreseen that other ingredients can be included
14 in the silane composition that are soluble therein, such as
15 biocides.

16 Because of polymerization upon curing, different
17 monomer or oligomer silanes may have very similar cured end-
18 products within and on the substrate if the organic radicals
19 of the different silanes are the same regardless of which
20 silane is used. Thus, an important differentiating
21 performance characteristics of the silanes, assuming an
22 equal weight of end-product, is the depth of penetration
23 into the substrate. If the depth of penetration is too
24 deep, then performance effectiveness may be impaired by
25 diffusion; if the depth of penetration is not deep enough,
26 then a surface scum may be present and/or the long-term
27 effectiveness may be impaired due to loss of repellent by
28 abrasion or erosion. The ideal silane end product will
29 penetrate deep enough to provide an effective repellency
30 over a reasonable life expectancy given normal wear-and-tear

1 at an economically acceptable coverage rate, but not so
2 deeply as to consume excessive quantities of repellent or so
3 diffusely as to provide inadequate repellency after a modest
4 extent of wear-and-tear.

5 Surfaces are treated with the compositions of the
6 present invention by applying the composition to the surface
7 and spreading by any suitable method such as brushing,
8 troweling, rolling and preferably spraying. The
9 compositions of the present invention provide relatively
10 high rates of coverage (for example, the silanes of the
11 invention may cover over 500 square feet per gallon as
12 compared to typical coverage for conventional solvent
13 carried silane monomers of about 200 square feet per
14 gallon). The silanes of the present invention, when applied
15 to a surface of a masonry or other substrate, coat the
16 surface and flow into pores opening onto the surface. It is
17 believed that the silane polymerizes both in a linear and
18 cross-linking manner to align with the pores and, in certain
19 instances, to bond to exposed hydroxyl groups on the surface
20 and in the pores. The silane, after binding, allows water
21 vapor to "breathe" through the surface, but repels liquid
22 water.

23 As noted above, after curing, the structures of the
24 linked silanes of the present invention are similar to the
25 structures formed by monomer silanes upon curing. The
26 difference between the present invention and the
27 conventional monomer silanes being a substantial decrease in
28 the quantity of VOC's released. In particular, conventional
29 solvent carried monomer silanes, as well as previous usage
30 of dimer or other low oligomer silanes, typically include a

1 volatile solvent which is not included in the present
2 invention and in the prior art compositions such volatile
3 solvents are evaporated into the atmosphere during the
4 curing process. Secondly, the monomer silanes (including
5 neat monomer silanes) are more volatile than the higher
6 silanes and, hence, more of the monomer silanes themselves
7 tend to spontaneously evaporate, especially on hot surfaces.
8 Thirdly, when the silanes polymerize or cure, alcohols (or
9 other volatile hydroxyl compounds) are produced. Because of
10 the presence of more silicon in the oligomer silanes, as
11 compared to monomer silanes, fewer by-product volatiles are
12 released by the oligomer silanes per quantity of weight of
13 silane used.

14 It is also noted that silanes of the present invention
15 are preferable to polysiloxanes which are defined as having
16 between 10 and about 80 silicones, since the polysiloxanes
17 require a solvent in order to be in a usable composition and
18 do not penetrate into the substrate being treated as deeply
19 as the silanes of the present invention and are, thus, more
20 prone to wear and abrasion.

21 Organoalkoxysilanes are well known in the prior art,
22 for example see U. S. Patent to Hedlund No. 3,589,917 which
23 is incorporated herein by reference. Silane compositions
24 including lower molecular weight oligomers are also found in
25 the prior art, for example see U. S. Patent of Linn No.
26 4,525,213 wherein oligomers are included in a solvent
27 composition. However, the silane oligomers of the present
28 application, form effective water repellents having
29 especially low VOC levels that are not disclosed in the
30 prior art. Similarly, the utility of organoalkoxysilane

17

1 oligomers as an otherwise solvent-free carrier for
2 organofluoro compounds to provide treatments that are oil
3 repellent as well as water-repellent with the fluoropolymer
4 penetrating relatively deeply into the substrate is also not
5 shown in the prior art.

6 The following examples are for the purpose of
7 illustrating the present invention and are not intended to
8 be limiting upon the scope of the claims of the present
9 application.

10

11 Example 1

12 Properties of silanes according to the present
13 invention were compared to properties of prior art
14 compositions. For testing purposes blocks were prepared
15 that were new, sandblast-finished, salt and pepper glass
16 fiber reinforced concrete that was cut into six generally
17 equal sample substrates. The sample substrates were oven
18 dried to a constant weight and allowed to reabsorb
19 atmospheric humidity for 24 hours prior to treatment.

20 Silanes were prepared in accordance with the following
21 descriptions:

22 Sample A: a silane composition was prepared in
23 accordance with the present invention. Silane Sample A
24 is a generally solvent-free composition of 1,3-di-n-
25 octyl-1,1,3,3-tetraethoxydisiloxane. The silane
26 composition for Sample A was manufactured by PCR, Inc.
27 of Gainesville, Florida.

28

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1 Sample B: a silane composition incorporating the same
2 silane as Sample A except having isopropyl alcohol
3 solvent in the amount of 20 percent by weight.

4
5 Sample C: an isobutyltrimethoxysilane composition
6 having incorporated therein a fluoropolymer sold under
7 the product designation FC-905 by 3M in the amount of
8 10 percent by weight. The fluoropolymer being 10
9 percent by weight of the FC-905 with a remainder being
10 a trichloroethane solvent, such that Sample C has 1
11 percent by weight active fluoropolymer.

12
13 Sample D: same as Sample C except that FC-905 is
14 present in an amount of 20 percent by weight making the
15 fluoropolymer 2 percent by weight active.

16
17 Sample E: a solvent-free composition of
18 isobutyltrimethoxysilane.

19
20 Each of the Samples A through E were applied to a
21 respective sample substrate in one saturating, low pressure
22 spray application from top to bottom of a vertical surface
23 of the substrate. The sprayed silanes were not backbrushed
24 and run-down was minimal in each case. Water repellent
25 capillary uptake was weighed and recorded for each applied
26 Sample subsequent to curing as noted below.

27 In particular, after application of the silane of the
28 Samples to respective substrates, the treated substrates
29 were allowed to cure for five days prior to performance
30 testing. To determine water absorption through the face of

1 the substrate to which the silanes were applied, three 2-
2 inch square cubes were cut from each of the spray applied
3 substrates, oven dried to a constant weight and allowed to
4 cool prior to testing. To determine water absorption
5 through the treated face, the four cut sides and back face
6 were coated with paraffin wax prior to testing. The testing
7 described herein was conducted in accordance with ASTM
8 Standard C-140-75 for Sampling and Testing Concrete Masonry
9 Units and in accordance with Rilem Test Method No. 11.4 for
10 Water Absorption Under Low Pressure by Pipe Method. Weight
11 gains of the treated substrates after immersion in water was
12 determined at 60-minutes and 24 hours and are shown in Table
13 1 compared to an untreated substrate. Color enhancement,
14 water and oil surface repellency, water absorption tube test
15 and coverage rates were determined and are shown in Table
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TABLE I

<u>Treatment</u>	<u>Test Sample Specimen</u>	<u>Moisture Abs.After 60 min.</u>	<u>Moisture Abs.After 24 Hours</u>	<u>% Effectiveness</u>
Untreated	1	0.76%	2.49%	.
Substrate	2	0.62%	2.29%	.
	3	0.53%	1.88%	.
	average	0.64%	2.22%	.
Sample A	4	0.06%	0.16%	93%
	5	0.08%	0.10%	95%
	6	0.07%	0.49%	78%
	average	0.07%	0.25%	89% *94%
Sample B	7	0.04%	0.08%	96%
	8	0.05%	0.11%	95%
	9	0.05%	0.10%	95%
	average	0.05%	0.10%	95%
Sample C	10	0.04%	0.10%	96%
	11	0.05%	0.17%	92%
	12	0.05%	0.11%	95%
	average	0.05%	0.13%	94%
Sample D	13	0.09%	0.37%	83%
	14	0.06%	0.14%	94%
	15	0.05%	0.12%	95%
	average	0.07%	0.21%	91%
Sample E	16	0.07%	0.24%	81%
	17	0.07%	0.15%	94%
	18	0.29%	1.02%	54%
	average	0.14%	0.46%	79% *91.5%

* - The average effectiveness was recalculated showing deletion of 6 and 18.

TABLE II

untreated	. .	3	NB	0.3
Sample A	A	2	NB	0.1	492	6.93	1-2
Sample B	A	2	NB	0.1	556	4.77	1-2
Sample C	A	1	B	0.0	516	6.22(0.07)	1-2
Sample D	A	1	B	0.0	584	5.01(0.13)	1-2
Sample E	A	2	NB	0.1	496	7.02	1-2

22

1 Test results are summarized below:

2 The results of the water absorption by ASTM Standard C
3 140, Wax Immersion after 24 hours indicate that all Samples
4 A through E evaluated produced superior results (91% - 95%)
5 effectiveness compared to the untreated specimens.

6 The color enhancement test by Visual assessment after 2
7 hours and after 96 hours indicate that at 2 and 96 hours
8 following treated surfaces visually resembled untreated
9 samples (The letter A indicates no change and the letter E
10 indicates slight darkening).

11 Results of surface water repellency tests are indicated
12 by numbers wherein 1 indicates excellent with no flattening,
13 2 indicates good with slight flattening and 3 indicates poor
14 with surface wet. Samples C and D displayed excellent
15 surface water repellency. Samples A, B and E without
16 fluoropolymer were somewhat less effective but still good.

17 Oil repellency was tested by visual assessment after 30
18 minutes in a horizontal orientation with E indicating
19 beading and NB indicating no beading. Samples C and D
20 (containing fluoropolymer) displayed excellent surface
21 hydraulic oil repellency. Samples A, B and E without
22 fluoropolymer displayed no repellency for hydraulic oil.

23 The water absorption test was conducted in accordance
24 with Rilem II.4 for 20 minutes in a vertical orientation and
25 simulating wind-driven rain conditions. Absorption is
26 measured in a range from 0 to 5 milliliters. Samples C and
27 D displayed excellent water repellency (0.0 ml absorption).
28 Samples A, B and E without fluoropolymer absorbed 0.1
29 milliliters which is within a good range.

30 The test for coverage rate measures the volume of

1 samples A through E applied per unit area of surface of the
2 respective substrate in square feet per gallon. A light
3 saturating application produced coverage rates in a range
4 from 496 sq. ft/gal for Sample E to 584 sq. ft/gal. for
5 Sample D.

6 The test for active wet deposition calculates the
7 weight of active sample applied per unit area of surface of
8 respective substrate in grams per square foot. Silane
9 deposition varied from 4.77 g/sq. ft. for Sample B to 7.02
10 g/sq.ft. for Sample E. The fluoropolymer deposition was .07
11 g/sq.ft. for Sample C and 0.13 g/sq,ft. for Sample D.

12 The test for penetration depth was by visual analysis
13 wherein a cross-section of each Sample was made and wetted
14 for comparison to the untreated substrate. A
15 penetration depth of 1-2 millimeters was measured for all
16 samples.

17 In conclusion, based on immersion testing, all Samples
18 A through E displayed above average water repellent
19 protection. At high coverage rates, the silanes of
20 Samples A, B and E displayed 0.1 milliliter absorption based
21 on water absorption tube tests. The addition of 1%
22 fluoropolymer in Sample C (0.07 g/sq.ft. wet deposition)
23 increased water repellency and oil repellency significantly.

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Example II

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Substrate blocks were prepared by cutting 2 inch by 2 inch by 1 inch thick blocks from "Briar Hill" cavallo buff sandstone. The substrate blocks were oven dried to a constant weight and allowed to reabsorb atmospheric humidity for 24 hours prior to treatment.

Samples were prepared to test the depth of penetration of organofluoro compounds in accordance with the present invention in comparison to conventional prior art. The prepared samples were as follows:

Sample F: A composition including 90 percent by weight 1,3-Di-n-octyl-1,1,3,3-tetraethoxysiloxane, 1 percent by weight of active fluoro polymer and 9 percent by weight of 1,1,1 trichloroethane with the fluoro polymer and trichloroethane being from a common source sold by 3M under the product designation FC-905.

Sample G: A composition including 98.9 percent of the silane of Sample F and 1.1 percent of the active fluoro polymer of Sample F. (The composition of Sample G having been formed by mixing the silane and FC-905 together and thereafter vacuum evaporating at room temperature the trichloroethane from the composition).

Sample E: A composition including 90 percent by weight isobutylmethoxysilane, 1 percent by weight active fluoropolymer and 9 percent by weight 1,1,1-

1 trichloroethane with the source of the latter two
2 components being the above noted FC-905.

3

4 Sample I: A composition including 98.9 percent by
5 weight isobutyltrimethoxysilane and 1.1 percent by
6 weight of active fluoro polymer derived by vacuum
7 evaporation of FC-905 after addition to the silane as
8 noted for Sample G.

9

10 Sample J: A composition including 1 percent by weight
11 active fluoro polymer and 99 percent by weight 1,1,1-
12 trichloroethane derived by diluting the FC-905 product
13 noted above with the ethane.

14

15 Each of the Samples F through J was applied dropwise
16 to a respective substrate until an effective coverage rate
17 of 228 square feet per gallon was achieved. Treated
18 substrates were allowed to then cure for four days. A
19 substrate treated with each of Samples F through J along
20 with an untreated control substrate block were split and
21 wetted on a split side thereof with a water carried
22 methylene blue. The depth of water repellency was
23 determined by measuring the distance from the treated
24 surface upon which the water beaded rather than was
25 absorbed.

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The results of the water repellency test were as follows:

<u>Sample</u>	<u>Depth of Water Repellency in millimeters</u>
F	9-10
G	9-11
H	9-10
I	10-12
J	1-2

Additional treated blocks with Samples H, I and J, along with an untreated control sample, were placed untreated side down in 3 to 4 millimeters of hydraulic oil (Monsanto Skydrol B-4) and the oil was allowed to rise until the top surface of the control cube was saturated with oil. The distance of the oil from the treated surface of the substrate blocks at the time of the completion of the test was measured and is reported below as the Depth of Oil Repellency:

<u>Sample</u>	<u>Depth of Oil Repellency in millimeters</u>
H	2-3
I	3-4
J	1-2

Example III

The volatile organic content (VOC) of various solvent-free silanes was determined for the following silanes in accordance with proposed ASTM standard D.01.47.03 expected to be finally implemented in January, 1991. The tests were run with triplicate averages and the results are as follows:

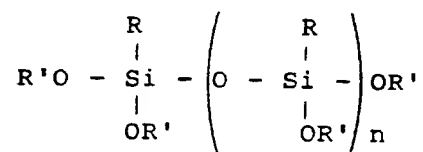
<u>Sample</u>	<u>VOC in grams per liter</u>
K: neat isobutyltrimethoxysilane	376
L: neat octyltriethoxysilane	305
M: neat 1,3-Di- <u>n</u> -octyl-1,1,3,3- tetraethoxydisiloxane	220

It is to be understood that while certain forms of the present invention have been illustrated and described herein, it is not to be limited to the specific forms or compositions described and shown.

C L A I M S

What is claimed and desired to be secured by Letters Patent is as follows:

1. A method of treating a substrate so as to impart water repellency to the substrate comprising the steps of:
 - (a) applying to said substrate a substantially solvent-free organoalkoxysilane liquid of the following general formula:



wherein:

R is an alkyl, cycloalkyl, arylalkyl, or alkaryl group or mixtures thereof having from 1 to about 30 carbon atoms wherein said carbon atoms are fully saturated with hydrogen or partially saturated with hydrogen with double bonds therebetween or with heteroatoms or fluorinated derivatives thereof;

R' is an alkyl or alkoxyalkyl group having from 1 to about 8 carbon atoms or mixtures thereof; and n is between 1 and about 8; and

- (b) allowing said organoalkoxysilane to cure.

2. The method according to Claim 1 wherein:
 - (a) R is an alkyl group having between 4 and 8 carbons; and
 - (b) n is between 1 and 3.

3. The method according to Claim 1 wherein:
 - (a) said organoalkoxysilane is substantially:
1,3-di-n-octyl-1,1,3,3-tetraethoxydisiloxane.

4. The method according to Claim 1 wherein:
 - (a) said organoalkoxysilane is substantially:
1,3-di-n-octyl-1,1,3,3-tetramethoxydisiloxane.

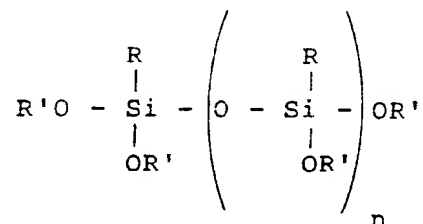
5. The method according to Claim 1 including the step of:
 - (a) mixing an oleophobic organofluoro compound into said liquid prior to applying said liquid to said substrate.

6. The method according to Claim 5 wherein:
 - (a) said organofluoro compound includes a volatile solvent therewith when mixed with said liquid; and including the step of:
 - (b) removing said volatile solvent from said liquid prior to addition of said liquid to said substrate.

7. The method according to Claim 6 wherein:
 - (a) said organofluoro compound is a fluoropolymer.
8. A method of treating a substrate to render the substrate water repellent comprising the step of:
 - (a) applying to a surface of the substrate a liquid consisting essentially of an organoalkoxysilane having between 2 and 10 silicon atoms and mixtures thereof.
9. The method according to Claim 8 wherein:
 - (a) said organoalkoxysilane is a dimer, trimer or mixture thereof.
10. The method according to Claim 8 including the step of:
 - (a) mixing an oleophobic organofluoro compound with said liquid to form a mixture prior to applying said liquid to said substrate.
11. The method according to Claim 10 wherein:
 - (a) said organofluoro compound includes a volatile solvent therewith when mixed with said liquid; and including the step of:
 - (b) removing said volatile solvent from said liquid prior to application of said liquid to said substrate.

12. The method according to Claim 11 wherein:
 - (a) said organofluoro compound is a fluoropolymer present in an amount less than about 2 percent by weight and the remainder of said mixture is said organoalkoxysilane.
13. A substantially solvent-free liquid for the treatment of a substrate to render the substrate water repellent consisting essentially of:
 - (a) a dimer, trimer, tetramer, or pentamer organoalkoxysilane or mixtures and condensation products thereof.
14. The liquid according to Claim 13 wherein:
 - (a) said organoalkoxysilane is a dimer or trimer.
15. The liquid according to Claim 13 including:
 - (a) an oleophobic organofluoro compound.
16. The liquid according to Claim 13 wherein:
 - (a) said organofluoro compound is present in an amount between 0.0 and 2.0% by weight and said organoalkoxysilane is a remainder of said liquid.

17. A liquid for rendering a masonry substrate water repellent having substantially no solvent and being substantially an organoalkoxysilane having the following formula:



wherein R and R' are organic radicals and n is from 1 to 8.

18. The liquid of Claim 17 wherein:
- (a) R is an alkyl group having between 4 and 8 carbons;
 - (b) R' is an alkyl group having between 1 and 2 carbons; and
 - (c) n is between 1 and 3.
19. The liquid according to Claim 17 wherein:
- (a) said organoalkoxysilane is substantially:
1,3-di-n-octyl-1,1,3,3-tetraethoxydisiloxane.
20. The liquid according to Claim 17 including:
- (a) an oleophobic organofluoro compound.

21. The liquid according to Claim 17 wherein:
- (a) said organofluoro compound is a fluoropolymer mixed with said liquid prior to usage thereof.
22. In a solvent-free organoalkoxysilane liquid for rendering a substrate water repellent, the improvement comprising:
- (a) an oleophobic organofluoro compound in an amount between 0.1 and 2% by weight.
23. The liquid according to Claim 22 wherein:
- (a) said organoalkoxysilane prior to curing has substantially entirely between 2 and 10 silicon atoms and mixtures thereof.
24. In a method of rendering a substrate water repellent by the application of a solvent-free organoalkoxysilane, the improvement including the step of:
- (a) applying to a surface of the substrate an organoalkoxysilane substantially having only between 2 and 5 silicon atoms per molecule and condensation products and mixtures thereof.

25. In a method of rendering a substrate water and oil repellent by application of a organoalkoxysilane liquid, the improvement comprising the step of
- (a) mixing said organoalkoxysilane with an organofluoro compound and a volatile solvent for said compound to form a mixture;
 - (b) thereafter removing substantially all of said volatile solvent from said mixture prior to application to said substrate; and
 - (c) thereafter applying said mixture in a substantially solvent-free state to said substrate.

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US91/01512

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC(5): C07F 13/00; C09D 183/00

US CL.: 106/2,287.12,287.12,287.14,287.16; 524/861

II. FIELDS SEARCHED

Minimum Documentation Searched *

Classification System

Classification Symbols

US

106/2,287.12,287.13,287.14,287.16; 524/861

Documentation Searched other than Minimum Documentation
to the Extent that such Documents are Included in the Fields Searched *

APS COMPUTER SYSTEM

III. DOCUMENTS CONSIDERED TO BE RELEVANT *

Category *	Citation of Document, with indication, where appropriate, of the relevant passages **	Relevant to Claim No. **
X	US, A, 4,716,051 (RODDER) 29 December 1987 See entire document.	1,2,8-9,13-14, 17-18,24
Y	US, A, 4,338,375 (WASHIMOTO et al.) 06 July 1982 See entire document.	1,2,5,7-10,12- 18,20-24

* Special categories of cited documents: **

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search *

07 MAY 1991

International Searching Authority *

ISA/US

Date of Mailing of this International Search Report *

17 MAY 1991

Signature of Authorized Officer **

C. Melissa Bonner
C. MELISSA BONNER